



Practitioner's Docket No. 5040/00002

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Corts, David; Wells, Bryce; Signorelli, Paul; Hunter, Lee; and Snyder, Terrance  
Application No.: 09/802,469                      Group No.: 2631  
Filed: March 9, 2001                      Examiner: Unknown  
For: System for Implementing Radio Commerce

**Box Missing Part**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**COMPLETION OF FILING REQUIREMENTS**  
**-- NONPROVISIONAL APPLICATION**

**I.** This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed May 23, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

**DECLARATION OR OATH**

**II.** No declaration or oath was filed. Enclosed is the original declaration or oath for this application.  
**SMALL ENTITY STATUS**

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**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**

☒ [X ] deposited with the United States Postal Service  
with sufficient postage as first class mail in an  
envelope addressed to the Assistant  
Commissioner for Patents, Washington, D.C.  
20231.

**FACSIMILE**

☐ [ ] transmitted by facsimile to the Patent and  
Trademark Office at (703) \_\_\_\_ - \_\_\_\_.

Date: 5/30/01

Signature Michelle A. DeFalco

Michelle A. DeFalco

(type or print name of person certifying)



#### COMPLETION FEES

##### IV.

###### 1. Filing Fee

Original patent application (37 C.F.R. Section 1.16(a))	\$355.00
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###### 2. Fees for Claims

Each independent claim in excess of 3 (37 C.F.R. Section 1.16(b))	\$160.00
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Each claim in excess of 20 (37 C.F.R. Section 1.16(c))	\$459.00
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###### 3. Surcharge Fees

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e))	\$65.00
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Total Completion Fees	\$1,039.00
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#### EXTENSION OF TIME

V. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

#### TOTAL FEE DUE

##### VI. The total fee due is:

Completion fees	\$1,039.00
Extension fee (if any)	\$0.00
Total Fee Due	\$1,039.00



## PAYMENT OF FEES

VII. Charge Account No. 19-0733 in the amount of 1,039.00.

Please charge Account No. 19-0733 for any fees that may be due by this paper.

## AUTHORIZATION TO CHARGE ADDITIONAL FEES

VIII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 19-0733.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims) 37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))

37 C.F.R. Section 1.17 (application processing fees)

Date:

5/30/01

Ernest V. Linek  
Registration No. 29,822  
BANNER & WITCOFF, LTD.  
28 State Street, 28th Floor  
Boston, MA 02109  
617-227-7111  
Customer No. 22910



05-31-01

\$ SECTOR

PATENT

Practitioner's Docket No. 5040/00002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Corts, David; Wells, Bryce; Signorelli, Paul; Hunter, Lee; and Snyder, Terrance

Application No.: 09/802,469

Group No.: 2631

Filed: 03/09/2001

Examiner: Unknown

For: System for Implementing Radio Commerce

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**EXPRESS MAIL CERTIFICATE**

"Express Mail" label number EL726238295US

Date of Deposit 05/30/2001

I hereby state that the following *attached* paper or fee

Completion of Filing Requirements

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. section 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Michelle A. DeFalco

**Signature of person mailing paper or fee**



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/802,469	03/09/2001	David Corts	5040/00002

CONFIRMATION NO. 5868

## FORMALITIES LETTER



\*OC000000006106746\*

22910  
BANNER & WITCOFF, LTD.  
28 STATE STREET  
28th FLOOR  
BOSTON, MA 02109

RECEIVED  
MAY 29 2001  
E. V. LINEK

Date Mailed: 05/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1238.
  - \$918 for 51 total claims over 20.
  - \$320 for 4 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2078.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

00000162 190733

355.00 CH  
459.00 CH  
160.00 CH  
55.00 CH

